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IFCW



Attorney Docket No. 030677

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Woodruff et al.

Application No.: 10/689,135

Filing Date: October 20, 2003

Art Unit: 3624

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: SYSTEM AND METHOD FOR
: INCREASING AN AMOUNT OF A
: SECURITY AVAILABLE FOR BORROW
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INFORMATION DISCLOSURE STATEMENT

May 9, 2005

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying form PTO/SB/08A.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information*

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Attorney Docket No. 030677

Disclosure Statement "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,

Date:

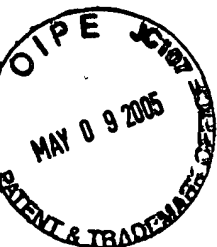
May 9, 2005

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | Complete if Known | | | |
| | | Application Number | 10/689,135 | | |
| | | Filing Date | October 20, 2003 | | |
| | | First Named Inventor | Woodruff | | |
| | | Art Unit | 3624 | | |
| Examiner Name | | | | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 030677 |

| U.S. PATENT DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No.¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number - Kind Code² (if known) | | | |
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| | | 6,188,993 | 02-13-2001 | Eng et al. | |
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| Examiner Initials* | Cite No.¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T⁶ |
| | | Country Code³-Number⁴-Kind Code⁵ (if known) | | | | |
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